



Recognised Stock and Investment Exchanges

Beneficial Ownership Act 2017

Those stock or investment exchanges recognised by the Treasury for the purposes of section 5(2)(b) of the Beneficial Ownership Act 2017 are:

1. **The Hong Kong Stock Exchange;**
2. **The International Stock Exchange;**
3. **The Johannesburg Stock Exchange;**
4. **The London Stock Exchange** – including the Main Market and the Alternative Investment Market;
5. **The NEX Exchange;**
6. **The Singapore Stock Exchange;**
7. **The Toronto Stock Exchange;**
8. **Those exchanges specified in Schedule 1 of the United Kingdom's Register of People with Significant Control Regulations 2016** – as modified from time to time; and
9. **Those exchanges which are 'regulated markets' (as defined from time to time in section 1173 of the United Kingdom's Companies Act 2006) in an EEA state (as defined from time to time in Schedule 1 of the United Kingdom's Interpretation Act 1978) other than the UK.**

Notes

(2.) – Formerly known as the Channel Islands Securities Exchange.

(5.) – Formerly known as the ISDX Exchange.

(8.) – the Register of People with Significant Control Regulations 2016 can be found here:

http://www.legislation.gov.uk/ukdsi/2016/9780111143018/pdfs/ukdsi_9780111143018_en.pdf

(Please be aware of any messages notifying of outstanding changes to the legislation that have not yet been added to the legislation.gov website).

(9.) – Section 1173 UK Companies Act 2006 refers to the definition of 'regulated market' in Directive 2004/39/EC of the European Parliament. The European Securities and Markets Authority maintains a register of those markets that satisfy the Directive's definition, in the form of an updated spreadsheet which can be accessed here: https://registers.esma.europa.eu/publication/searchRegister?core=esma_regiters_upreg

(9.) – Schedule 1 of the Interpretation Act 1978 can be found here: <http://www.legislation.gov.uk/ukpga/1978/30/schedule/1> (Please be aware of any messages notifying of outstanding changes to the legislation that have not yet been added to the legislation.gov website).