



# THE ONLINE GAMBLING REGULATION ACT 2001

## SIMPLIFIED PERSONAL DECLARATION FORM (SPDF)

<b>Personal Declaration Form of</b> (Your Name here)	
<b>Name of the Company making the OGRA Application/holding an OGRA Licence("the Company")</b>	
<b>Nature of involvement</b>  Indicate with a '✓' or 'X' each of those applicable	<input type="checkbox"/> Shareholder with up to and including a 5% holding of the licence holder/licence applicant either directly or indirectly held <input type="checkbox"/> Shareholder with up to and including 20% holding of the licence holder/licence applicant via a PLC <input type="checkbox"/> Investor, investing between £50,000 and £250,000 and not more than 25% of the total amount invested <input type="checkbox"/> Stakeholder who makes critical non-financial contribution <input type="checkbox"/> Senior Manager, accountable for: <ul style="list-style-type: none"> <li><input type="checkbox"/> Overall corporate strategy</li> <li><input type="checkbox"/> Information technology / security of registration and games / betting infrastructure</li> <li><input type="checkbox"/> Regulatory compliance</li> <li><input type="checkbox"/> Financial control</li> <li><input type="checkbox"/> Commercial development</li> </ul> <input type="checkbox"/> Money Laundering Reporting Officer <input type="checkbox"/> AML/CFT Compliance Officer <input type="checkbox"/> Other <input style="width: 150px; height: 20px;" type="text"/>
<b>Proposed date of appointment</b>	
<b>Explanation of the Application Procedure</b>  <p>This form is to allow you to declare any circumstances which will be of interest to the Isle of Man Gambling Supervision Commission (GSC) in determining suitability for licensing. It should be completed and submitted prior to your appointment.</p> <p>The GSC has a statutory responsibility to investigate the character of any person concerned with the operation of any online gambling conducted in the Island. You are therefore required to provide details of any sanctions or convictions irrespective of when they occurred or whether they would normally be considered as "spent" under any scheme, such as the Rehabilitation of Offenders rules.</p> <p>Because of the limited nature of your involvement with the Company, it is not felt necessary at this stage for you to supply all of the details and supporting documents the GSC usually requires to assess</p>	

suitability. Instead you are only being asked to supply details of who you are and of any convictions or sanctions that you may have.

During the due diligence process the GSC may conduct enquiries with third party organisations and authorities. These third parties will conduct due diligence assessment on behalf of the GSC and provide comment to support the GSCs assessment.

By signing this form you have agreed and understand the reason for these assessments and give your consent. If you do not agree to consent, the assessments may still be carried out or the application process may cease.

The GSC reserves the right to ask for further detail in relation to any controller connected with a licence.

In addition, we ask that an Isle of Man based director of the Company to sign a form to confirm that they are satisfied that;

- all necessary checks have been undertaken; and
- the individual named is a person of integrity.

**It is important to note that the simplified process does not apply to politically exposed persons.**

#### **General Guidance on completion of the Form**

Please complete in CAPITAL LETTERS (except where signing) and use BLACK ink.

Please stay within the text boxes. If there is insufficient room, please provide the information on a separate sheet, and indicate in the box that you have done so.

All questions have to be answered and where specific information is requested, it must be provided in full. A failure to do so will result in your application being delayed, and may result in your application being returned or rejected.

The GSC retain the right to request any additional information it deems necessary, and you should note that the GSC may share your data with third parties for the purposes of conducting diligence checks.

Should it be found that any information provided as part of the application process is, without reasonable excuse, misrepresented or falsified, deliberately omitted or otherwise not properly provided, it may result in the application being rejected, or if already approved, that approval being suspended or revoked.

## Part 1 – Personal Identity and Address

<b>Title used</b>	Mr	Mrs	Miss	Ms	Other:
<b>Surname</b> (Family Name by which you are commonly known)					
<b>Given Forename(s)</b>					
<b>Maiden Name</b> (Surname of a female before marriage)					
<b>Other name or alias</b> (Any name by which you have been known for any reason)					
<b>Reason</b> for the use of an alias or other name					
Dates other names used	From:		To:		
<b>Gender</b>	Male		Female		
<b>Date of Birth</b>	Day of Month / Month of Year in Words / Year in full				
<b>Place of Birth</b>	Town/City:		Country:		
<b>Current Nationality</b>					
<b>Change of Nationality</b> If you have changed nationality from your birth right, give your original nationality and the reason for change. If not applicable, leave blank					
<b>Full Postal Address</b>	Building Name or Number				
	Street				
	Town				
	County, State or Area				
	Country				
	Post Code				
<b>Occupancy Status of Current Home Address</b>	Owner	Joint Owner	Renting	Living with Parents	
	Other:				
<b>How long have you lived at this address</b>					

If you have lived at your current home address for less than five years, provide all previous addresses during the past five years below. If there is insufficient room, continue on a separate sheet.

<b>Dates from and to</b>		
<b>Previous Address</b>	Building Name or Number	
	Street	
	Town	
	County, State or Area	
	Country	
	Post Code	
<b>Dates from and to</b>		
<b>Previous Address</b>	Building Name or Number	
	Street	
	Town	
	County, State or Area	
	Country	
	Post Code	

**Part 1.1 - Contact Methods** (The method by which we can get in touch with you)

Type	Home	Business
<b>Telephone</b>		
<b>Mobile Phone</b>		
<b>Facsimile</b>		
<b>E-mail</b>		

## Part 1.2 – Evidence of Identity

You must provide a plain copy of an acceptable form of photographic id

Indicate with a '✓' or an 'X' which method you use

- Passport
- Country ID Card
- Driving Licence

## Part 2 – Integrity; Previous Convictions etc

The questions in this part refer to all jurisdictions and countries worldwide. Accordingly, your answers should not be restricted to any one jurisdiction in isolation.

You must include convictions that might be considered as 'spent' under the Rehabilitation of Offenders Act or under similar schemes in other territories.

The Commission considers it important that comprehensive details on any sanctions or convictions are declared voluntarily. It should be noted that the presence of sanctions or convictions does not automatically disqualify an application and the Commission considers each case on an individual basis before making its decision.

If you answer 'yes' to any question, please provide full details in a separate attachment.

2.1	Have you ever been convicted of an offence or accepted a formal Police or Customs caution, admonishment or formal warning?	YES / NO
2.2	Have you been charged with an offence and are awaiting hearing; or otherwise subject to a pending prosecution?	YES / NO
2.3	To your knowledge, are you under investigation or enquiry by <ul style="list-style-type: none"> <li>• the police, customs or any other law enforcement agency;</li> <li>• any other Government Agency (i.e. Tax, IRS, Social Security etc.)</li> <li>• any Professional Body, Trade Association or Industry Regulator</li> </ul>	YES / NO
2.4	Have you ever been censured, disciplined or made subject of an order by any Professional Body, Trade Association or Industry Regulator	YES / NO
2.5	Have you ever been: <ul style="list-style-type: none"> <li>• Disqualified from acting as a director of a Company</li> <li>• Prohibited from acting in the management or conduct of the affairs of any company, partnership or association</li> </ul>	YES / NO
2.6	Have you ever been barred from entry into any employment or office? During the course of any employment or office, have you ever been: <ul style="list-style-type: none"> <li>• Suspended or dismissed</li> <li>• Required to resign</li> </ul>	YES / NO

### Part 3 – Declaration and Signature

As part of the submission by the Applicant Company for a Licence under Section 4 of the Online Gambling Regulation Act 2001 (OGRA) or as an individual who holds or is applying to hold the position indicated on this form within a current OGRA licence holder, I agree—

- to provide any further information that the Commission may require when considering this application
- to notify the Commission immediately of any material changes in the information provided in this application

I understand that the GSC will make extensive due diligence enquiries in order to establish that the Company is under the control and management of persons of integrity and competence who are of sound character and financial status.

I hereby authorise the GSC to pursue confirmation of facts and potentially seek further information from third parties. I understand this may involve the sharing of some of my information.

I further grant authorisation for the GSC to undertake such enquires, and to request and receive information about me from such third parties.

I certify that the information provided in this personal Declaration Form is, to the best of my knowledge and belief, complete and correct.

<b>Signature</b>	
<b>Date</b>	

### Part 4 – IOM Director's Statement

I confirm that the appropriate due diligence checks have been completed.  
Accordingly, I can confirm that I am satisfied that the following controller is a person of integrity.

<b>Applicant's Full Name</b>	
<b>Director's Full Name</b>	
<b>Director's Signature</b>	
<b>Date</b>	



# The Online Gambling Regulation Act 2001 Information Disclosure Authority

**This form is issued by the Isle of Man Gambling Supervision Commission  
Ground Floor, St George's Court, Myrtle Street, Douglas, Isle of Man, IM1 1ED  
Telephone: +44 (0)1624 694331 Fax: +44 (0)1624 694344 E-mail: [gaming@gov.im](mailto:gaming@gov.im)  
Web Site: [www.gov.im/gambling](http://www.gov.im/gambling)**

I confirm that I am party to an application, which has been made to the Isle of Man Gambling Supervision Commission (GSC) for a licence to operate under the Online Gambling Regulation Act 2001(OGRA), or that I hold or intend to hold the position indicated on this form within a company licensed to operate under OGRA, and that the information provided on this form is accurate and true.

I understand that as part of this process, the GSC will undertake comprehensive due diligence enquiries into my character, integrity, competence and financial status.

A photocopy or fax of this authorisation shall be considered as effective and valid as the original.

<b>Signature</b>			
<b>Full Name</b>			
<b>Contact Address</b>	Building Name or Number		
	Street		
	Town		
	County or Area		
	Country		
	Post Code		
<b>Contact Telephone</b>		<b>E-mail address</b>	