

Qualifying Type Experienced Investor Fund

Regulation 4(1)(h)

Part A - Annual compliance declaration to be completed by the governing body of a Qualifying Type Experienced Investor fund

To be submitted within 6 months of the scheme's financial year-end and immediately prior to the scheme ceasing to be a scheme.

To the Isle of Man Financial Services Authority

Name of Fund: _____ ("the fund")

For the period from: _____ to _____

The period covered by this declaration must correspond with the fund's financial year end or the scheme ceasing to be a scheme, as the case may be.

We confirm that at all times during the period covered by this declaration –

1. the fund has complied with the requirements of the Collective Investment Schemes Act 2008 and the Collective Investment Schemes (Experienced Investor Fund) Regulations 2010;
2. the fund has been managed and operated in accordance with the fund's constitutional documents and offering document; and
3. no offering document was issued to investors or potential investors unless, at the date it was issued to such persons, it accurately set out all material information to enable an informed investment decision to be made by investors and was up to date in respect of any material changes to the fund or its circumstances since launch; and
4. the administrator and investors in the fund have been informed in a timely manner of all material changes to the fund.

Any areas of non-compliance, and any other information that we consider should be brought to the Authority's attention in relation to the operation of the fund, have been detailed on a separate sheet (*such sheet must be attached to this notification*)

Signed for and on behalf of the fund's governing body.

Signed Date

Name

Position

Signed Date

Name

Position

Regulation 14(2)(d)

Part B – Annual compliance declaration to be completed by the manager of a Qualifying Type Experienced Investor Fund

To be submitted within 6 months of the scheme’s financial year-end and immediately prior to the scheme ceasing to be a scheme.

We confirm that at all times during the period covered by this declaration –

1. we are not aware of any matters that lead us to believe that the fund has not complied with the requirements of the Collective Investment Schemes Act 2008 and the Collective Investment Schemes (Experienced Investor Fund) Regulations 2010;
2. the fund has been managed and operated in accordance with the fund’s constitutional documents offering document; and
3. we are not aware of any matters that lead us to believe that the fund’s offering document did not accurately set out all material information to enable an informed investment decision to be made by investors.

Any areas of non-compliance, and any other information that we consider should be brought to the Authority’s attention in relation to the operation of the fund, have been detailed on a separate sheet (*such sheet must be attached to this notification*).

Signed for and on behalf of the fund’s manager.

_____ Signed	_____ Date	_____ Signed	_____ Date
Name		Name	
Position		Position	