

**Experienced Investor Fund (Legacy and Closed)**

**Regulation 4(1)(h)**

**Part A - Annual compliance declaration to be completed by the governing body of an Experienced Investor fund**

To be submitted within 6 months of the scheme’s financial year-end and immediately prior to the scheme ceasing to be a scheme.

**To the Isle of Man Financial Services Authority**

Name of Fund (‘‘the fund’’)

For the period from: to

*The period covered by this declaration must correspond with the fund’s financial year end or the scheme ceasing to be a scheme, as the case may be.*

We confirm that at all times during the period covered by this declaration –

1. the fund has complied with the requirements of the Collective Investment Schemes Act 2008 and the Collective Investment Schemes (Experienced Investor Fund) Regulations 2010;
2. the fund has been managed and operated in accordance with the fund’s constitutional documents and offering document; and
3. no offering document was issued to investors or potential investors unless, at the date it was issued to such persons, it accurately set out all material information to enable an informed investment decision to be made by investors and was up to date in respect of any material changes to the fund or its circumstances since launch; and
4. the administrator and investors in the fund have been informed in a timely manner of all material changes to the fund.

Any areas of non-compliance, and any other information that we consider should be brought to the Authority’s attention in relation to the operation of the fund, have been detailed on a separate sheet (*such sheet must be attached to this notification*)

Signed for and on behalf of the fund’s governing body.

\_\_\_\_\_  
Signed Date

\_\_\_\_\_  
Signed Date

Name

Name

Position

Position