

SCHEDULE 4

CATEGORY I INVESTMENT BUSINESSES

ANNUAL FINANCIAL DECLARATION

Name of licenceholder _____

Date for which declaration is made _____

***A** In the case of a sole trader

I certify to the best of my knowledge and belief that I can meet my liabilities both personal and those relating to my business activities as they fall due.

I am aware that any false declaration may result in immediate suspension or other disciplinary action being taken against me.

***B** In the case of a partnership or incorporated business

We certify to the best of our knowledge and belief that the *partnership(and all partners)/company can meet its/their liabilities as they fall due.

We are aware that any false declaration may result in immediate suspension or other disciplinary action being taken against the *partners/partnership/company.

*** Delete as applicable**

Signature _____

Name (Print) _____

Position _____

Date _____

Signature _____

Name (Print) _____

Position _____

Date _____