

## Continuing Experienced Investor Funds

**Form of Annual Compliance Declaration to be submitted to the Commission within 6 months of the fund's financial year end**

**To the Isle of Man Financial Supervision Commission**

Name of Fund \_\_\_\_\_

For the period: \_\_\_\_\_ To: \_\_\_\_\_

*The period covered by this statement must correspond with that of the fund's financial year.*

### **Part 1 – Governing Body Declaration***(to be completed for all continuing EIFs)*

We confirm that —

1. the Fund has at all times during the period covered by this declaration complied with the requirements of the Financial Supervision Act 1988 and the Financial Supervision (Experienced Investor Fund) (Exemption) Order 1999;
2. the Fund has at all times during the period covered by this declaration been managed and operated in accordance with the Fund's constitutional documents and offering document; and
3. (except in the case of a closed experienced investor fund under the 1999 Order) the Fund's offering document has at all times during the period covered by this declaration accurately set out all material information to enable an informed investment decision to be made by investors.

Any areas of non-compliance, and any other information that we consider should be brought to the Commission's attention in relation to the operation of the Fund, have been detailed on a separate sheet *(such sheet must be attached to this notification)*.

Signed for and on behalf of the Fund's governing body.

\_\_\_\_\_  
Signed

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signed

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name

\_\_\_\_\_  
Name

\_\_\_\_\_  
Position

\_\_\_\_\_  
Position

**Part 2 – Manager Declaration** *(to be completed for qualifying type experienced investor funds only)*

We confirm that —

1. we are not aware of any matters that lead us to believe that the Fund has not, during the period covered by this declaration, complied with the requirements of the Financial Supervision Act 1988 and the Financial Supervision (Experienced Investor Fund) (Exemption) Order 1999;
2. at all times during the period covered by this declaration, the Fund has been managed and operated in accordance with the fund’s constitutional documents and offering document; and
3. we are not aware of any matters that lead us to believe that at any time during the period covered by this declaration the Fund’s offering document did not accurately set out all material information to enable an informed investment decision to be made by investors.

Any areas of non-compliance, and any other information that we consider should be brought to the Commission’s attention in relation to the operation of the Fund, have been detailed on a separate sheet *(such sheet must be attached to this notification)*.

Signed for and on behalf of the Fund’s manager.

\_\_\_\_\_  
Signed

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signed

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name

\_\_\_\_\_  
Name

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