

APPENDIX L

This pro-forma is a guidance document, based on sub-paragraphs 20(3) and 20(4) of the Proceeds of Crime (Money Laundering) Code 2010 and the Prevention of Terrorist Financing Code 2011 which may be used as a template by licenceholders.

**[Licenceholder name] Limited
Register of Internal Money Laundering and Financing of Terrorism Reports made to the MLRO or Deputy MLRO**

*“A relevant person shall establish and maintain a register of all money laundering and financing of terrorism reports made to the MLRO or deputy MLRO in accordance with sub-paragraph (2)(c).”
Paragraph 20(3) of the Proceeds of Crime (Money Laundering) Code 2010*

*“A relevant person shall establish and maintain a register of all financing of terrorism reports made to the officer or the officer’s deputy in accordance with sub-paragraph 2(c).”
Paragraph 20(3) of the Prevent of Terrorist Financing Code 2011.*

Para 20(4)	Para 20(4)	Para 20(4)	Para 20(4)	
Date on which the report is made	Person who made the report	Whether made to the MLRO or deputy MLRO	Information sufficient to identify the relevant papers	Comments and further action [#]

[#] *Guidance: Optional field*

